

9624 Guideline for plastic packaging system and components for pharmaceutical packaging

1 This guideline applies to plastic packaging system and components for contacting directly pharmaceutical
2 packaging. For plastic components that contact indirectly with pharmaceutical preparations but provide
3 additional protection or delivery for pharmaceutical preparations, the guideline can serve as reference.

4 Classified by material: Common materials used for plastic packaging system and components include
5 polyethylene (PE), polypropylene (PP), polyethylene terephthalate (PET), polycarbonate (PC), cyclic
6 polyolefin (COC, COP, etc.), polyvinyl chloride (PVC), polyvinylidene chloride (PVDC), ethyl vinyl
7 acetate (EVA), polyamide (nylon PA); Polyoxymethylene (POM) and the composite materials made of
8 above materials by compositing.

9 Classified by the pharmaceutical delivery route: plastic packaging system and components for injections,
10 for inalation aerosols and sprays, for topical preparations (eye preparations, aural preparations, nasal
11 preparations, external preparation, etc.), for oral preparations, etc.

12 Classification by dose: Single-dose packaging system for single dose, and multiple-dose packaging system
13 for above single dose.

14 **1 Terms and Definitions**

15 **Plastic** Abbreviation for plastic polymer materials. It refers to processed and molded plastic materials or
16 cross-linking curing materials, with main component of synthetic resin with high molecular weight, added
17 by appropriate additives, such as plasticizer, stabilizer, antioxidant, lubricant and colorant.

18 **Pellet** Broadly speaking, it is a raw material for processing plastic products, and granular compound made
19 of high molecular weight polymers (resin), generally with the melted temperature range and soften
20 temperature range after heating. It has unique number, such as grade number, clear scope of application,
21 certain molecular weight range, melting index, etc.

22 **Plastic Component** Any plastic component (or predominantly plastic component) used in the
23 pharmaceutical packaging system, including plastic containers, and products which are shaped through a
24 plasticizing process.

25 **Plastic Container** Containers manufactured by plastic (or predominantly plastic) to contain
26 pharmaceutical preparation.

27 **Plastic Packaging system** Packaging system composed of plastic packaging components.

28 **Type Sample** Products produced with certain formula and stably processes evaluated to meet the use
29 requirements. It is in accordance with the mass-produced formula, processes and purposes.

30 **2 Basic requirements**

31 **2.1 Production requirements**

32 2.1.1 Plastic Packaging system and components for packaging drugs shall be manufactured in accordance
33 with relevant production quality specifications.

34 2.1.2 When selecting plastic pellets, the safety risks of pellets and additives should be evaluated, such as
35 the type and maximum usage of additives, monomer residue, metal residue and test results of related
36 extractable matters. The type and residue of adhesives and solvents in the composite material shall be

37 controlled.

38 **2.2 Use requirements**

39 2.2.1 The plastic components selected for pharmaceutical preparations shall have good compatibility with
40 the packaging, and must not affect the quality, safety and effectiveness of the pharmaceutical preparations.

41 2.2.2 When adopting plastic packaging containers and components, the barrier properties shall be
42 investigated according to the quality requirements of the drugs packaged in combination with different
43 materials, processing technologies, material thickness, packaging specifications and shelf life, and be
44 evaluated for the compliance with the needs of drugs according to the quality requirements of
45 pharmaceutical preparations.

46 2.2.3 The attention shall be paid to the impact of the process on the material, and the test items and limit
47 requirements shall be established. For example, the residue of the sterilizing agent and degradation
48 products shall be controlled during chemical sterilization.

49 2.2.4 When the plastic components are combined or used with components made of other materials, they
50 shall have good fitness and shall not affect the sealing properties of the packaging system. When necessary,
51 an appropriate method shall be selected for evaluation according to the pharmaceutical risk assessment and
52 the Guidelines for sealing properties of pharmaceutical packaging systems (Guideline 9628). For some
53 plastic components which are easily softened by heat and brittle by supercooling at different temperatures
54 and will swell and easy to deform under the action of solvents in some preparation formulas, the attention
55 shall be paid to the impact of material changes on the integrity when being used for the intended purpose
56 under storage conditions.

57 2.2.5 Plastic components for pharmaceutical packaging of different materials and purposes should be
58 evaluated or tested for the biological safety in accordance with the Guidelines for biological evaluation and
59 test selection of pharmaceutical packaging materials (Guideline 9651).

60 2.2.6 The microbial control strategy should be established based on risk management. The Plastic
61 Packaging system and components for drugs packaging in different supply forms should be conducted with
62 the inspection of the sterility, bio-burden limit or microbial limit in accordance with the Guidelines for
63 microbiological testing of pharmaceutical packaging materials (Guideline 9653) and conducted with the
64 bacterial endotoxin test in accordance with the Guideline for Bacterial Endotoxin Test Method Application
65 (Guideline 9251).

66 **3 Product quality control**

67 In order to ensure the quality control of drugs, meet the clinical needs and use safety, the manufacturers
68 and users of Plastic Packaging system and components for drugs packaging shall comply with the
69 enterprise specification or quality agreements for products based on the actual production and use situation
70 in accordance with but not limited to the requirements of the body of the guideline and annexes 1 to 8. The
71 quality control requirements for sterile drug packaging systems using Blow Fill Sealing (BFS) technology
72 can refer to annex 9.

73 **3.1 Identification**

74 Used to identify the material of plastic. In case of any change of the raw material supplier and production
 75 technologies of plastic components for drugs packaging or any quality problem of products, the
 76 appropriate identification test should be conducted. In addition to the following methods, the differential
 77 scanning calorimetry (DSC) may be adopted when necessary.

78 Infrared radiation (IR) According to the testing of infrared spectroscopy method for packaging materials
 79 <4002>, the obtained spectrum should be consistent with that of typical sample.

80 Density The density shall be determined in accordance with Density Determination Method for
 81 pharmaceutical Packaging <4012> and shall comply with the enterprise specification or quality
 82 agreements.

83 3.2 Extractables test

84 The extractables test is used to evaluate the total extractable matter and specific extractable matter in the
 85 plastic components for pharmaceutical packaging and can be used for the preliminary risk assessment of
 86 products and to monitor the stability of product quality, and to control the possible impact of containers
 87 and components in direct contact with drugs on pharmaceutical preparations quality. The supplier and the
 88 demander should select appropriate extractables test items for control in accordance with the
 89 Determination of Extractables for Pharmaceutical Packaging Materials and Containers <4204>, according
 90 to the risk degree and compatibility study results of the packaged drugs, in combination with different
 91 materials and processing technologies. The extractable control requirements of several categories of plastic
 92 containers and components commonly used are show in table 1-3. For the applicable scope of various
 93 products, please refer to the general chapters of each category. For categories and materials not listed,
 94 please refer to and not limited to the following.

95 In addition to the items in the table below, for the inspection of elemental impurities, also refer to
 96 Guideline for Elemental Impurities (Q3D). Identify known or potential sources of elemental impurities
 97 according to materials and production technologies of different products, carry out the risk assessment of
 98 elemental impurities in combination with the pharmaceutical preparation quality requirements, determine
 99 the impurities as per the assessment results and in accordance with the Elemental Impurity Determination
 100 Method for Pharmaceutical Packaging <4214> when applicable, and formulate the control requirements in
 101 the enterprise specification or quality agreements.

102 The nonvolatile matters shall be controlled in combination with the expected characteristics and risk
 103 degree of packaged pharmaceutical preparations. When applicable, the test solution shall be prepared with
 104 appropriate method according to the Determination of Extractables for Pharmaceutical Packaging
 105 Materials and Containers <4204> to determine the nonvolatile matters, which shall comply with the
 106 enterprise specification or quality agreements.

107 Table 1 extractables test (plastic products for injections and eye drops)

Product category	Plastic packaging components for injections	Plastic containers and caps for eye drops
Test items	Regular sample ^b : General Chapter	Bottle: Method V
Preparation of test		

solution	4204 Method I Irregular sample: General Chapter 4204 Method III	Nozzle and cap: Method VI
Clarity	The test solution should be clear; if it is turbid, it shall not be thicker when compared with No. 2 standard turbidity liquid	The test solution should be clear; if it is turbid, it should not be thicker when compared with No. 2 standard turbidity liquid
Color	Colorless	/
pH or pH variation	5.0-7.0 (pH)	No more than 1.0 (pH variation)
Ultraviolet absorbance ^a	220-240nm: No more than 0.08 241-350nm: No more than 0.05	220~350 nm : No more than 0.10
Reducing substances (volume difference when consuming 0.01mol/L sodium thiosulfate titration solution)	No more than 1.5ml	No more than 1.5ml

108 a: It is suitable for polyolefin materials, such as polyethylene and polypropylene, and other materials shall
109 comply with enterprise specification or quality agreements.

110 b: Easy to obtain flat parts and surface area of the sample, such as bottles, bags, etc.

111 Table 2 extractables test (plastic products for topical liquid preparations and ointments)

Product category	Plastic composite tubes and components (tube shoulders and caps) for topical ointments	Plastic bottles and caps for topical liquid preparations
Test items		
Preparation of test solution	Tube body: General Chapter 4204 Method VII Tube shoulder / cap: General Chapter 4204 Method VIII (if necessary, each shoulder / cap can be crossly cut into four parts)	Bottle: General Chapter 4204 Method VII Cap: General Chapter 4204 Method VIII (if the cap is a combined structure, take the part that is contact with the liquid preparation; if necessary, each cap can be crossly cut into four parts)
Clarity	/	Clear; if it is turbid, it shall not be thicker when compared with No. 2 standard turbidity liquid
pH variation no more than	/	No more than 1.0

Ultraviolet absorbance (220-350 nm)	No more than 0.10	Water test solution: No more than 0.1 50% ethanol test solution ^a : No more than 0.2
Reducing substances (volume difference when consuming 0.01mol/L sodium thiosulfate titration solution)	No more than 1.0ml	No more than 1.5ml

112 a: It is suitable for bottles containing liquid preparations made of ethanol as a solvent, among which,
113 polyester bottles shall comply with the enterprise specification or quality agreements.

114 Table 3 extractables test (plastic products for oral preparations)

Product category	Plastic bottles and caps for oral liquid preparations	Plastic bottles and caps for oral liquid preparations	Composite films and bags for oral solid preparations	Sheets for oral solid preparations
Test items				
Preparation of test solution	Bottle: General Chapter 4204 Method VII Cap: General Chapter 4204 Method VIII (if the cap is a combined structure, take the part that is contact with the liquid preparation; if necessary, each cap can be crossly cut into four parts)	Bottle: General Chapter 4204 Method VII Cap: General Chapter 4204 Method VIII (if the cap is a combined structure, take the part that is contact with the solid preparation; if necessary, each cap can be crossly cut into four parts)	General Chapter 4204 Method IX	General Chapter 4204 Method X
Clarity	Clear; if it is turbid, it should not be thicker when compared with No.	/	/	/

	2 standard turbidity liquid			
pH variation	No more than 1.0	/	/	/
Ultraviolet absorbance, 220-360 nm	No more than 0.1	/	/	/
Reducing substances (volume difference when consuming 0.01mol/L sodium thiosulfate titration solution)	No more than 1.5ml	No more than 1.5ml	No more than 1.5ml	No more than 1.5ml

Annex 1: Plastic packaging system and components for injections

115 This annex applies to plastic packaging system and components contact with injection, concentrated
 116 solution for injection, etc., which are produced by plastic molding process with plastic pellets as the main
 117 raw material. This annex is not applicable to the multi-chamber injection packaging systems. Packaging
 118 systems for rinsing preparations can refer to implementation. The quality control requirements for the
 119 plastic packaging system for injection using Blow Fill Sealing (BFS) technology can refer to Annex 9.

120 Classified by the shape and structure of containers, plastic containers for injections are divided into: plastic
 121 ampoules, plastic bottles, plastic bags, etc.

122 Classified by shape and structure, plastic components for injections are divided into sealed caps, interfaces,
 123 hoses, etc.

124 Classified by packaged drug dose: A Single-dose container is designed for use with a single patient as a
 125 single injection/infusion. A Multiple-dose container is intended to contain more than one dose of a drug
 126 product.

127 Plastic packaging system for injections shall comply with the requirements of the body of the guideline
 128 and the following requirements.

129 The production management and quality control of Plastic packaging system and components for
 130 injections shall comply with the requirements of the relevant quality specification. The production
 131 environment should be controlled in accordance with the Good Manufacturing Practice for Drugs. When
 132 selecting the material of plastic packaging system and components for injections, the safety risks of
 133 materials and additives should be evaluated, such as the type and maximum usage of additives, monomer
 134 residue and elemental impurities. The packaging systems for injections should be conducted with the
 135 integrity evaluation in all links of product design, process verification, commercial production, and product
 136 stability investigation in accordance with Guideline for the Integrity of Sterile Pharmaceutical Packaging

137 System (Guideline 9628). At the same time, an appropriate sampling scheme should be selected based on
138 the results of risk assessment to formulate the enterprise specification.

139 Plastic package for injections are high-risk preparations and the sector of using should further carry out
140 relevant evaluation and control based on the principle of risk assessment. 1.The influences of the
141 connection ways between components on the integrity of packaging systems should be evaluated. 2.If the
142 specification and shape have an impact on the protection and function, it should be evaluated. When
143 necessary, a diagram should be established to clarify the control requirements. If there are functional
144 components for improving the protection and function in the packaging systems, relevant performance
145 control items and requirements should be established. 3.Appropriate methods should be used to investigate
146 the impact of the transportation and storage processes on the integrity of the packaging systems for
147 injections, including but not limited to the quality test items of package integrity, such a heat seal strength,
148 drop resistance and leakage rate. 4.When there are special requirements for the preparations and other
149 volatile ingredients need to be added to the formulation and process, further attention should be paid to the
150 requirements for the barrier property of the packaging systems for injections. Outer packaging (secondary
151 packaging) bags with high barrier property should be used if necessary. The evaluation items and limit
152 requirements for the barrier bags, such as oxygen transmission, nitrogen transmission or carbon dioxide
153 transmission, should be added to the enterprise specification in combination with the formulation and
154 process characteristics of the preparations. 5.The requirements for clinical use should set items and
155 requirements according to the characteristics and structural forms of packaging systems, as well as the
156 usage in the instructions. 6.If necessary, the specific extracts obtained from extractable matter research
157 should be controlled in the enterprise specification or quality agreements based on the research results of
158 extractable matters, which shall comply with the enterprise specification or quality agreements.

159 **1 Microbiological control**

160 If applicable, the bioburden shall be determined in accordance with the Guideline for Microbiological Test
161 of Pharmaceutical Packaging (Guideline 9627) and shall comply with the enterprise specification or
162 quality agreements.

163 If applicable, the test shall be determined in accordance with the sterility test method in the Guideline for
164 Microbiological Test of Pharmaceutical Packaging (Guideline 9627) and shall comply with the enterprise
165 specification or quality agreements.

166 **2 Bacterial endotoxin**

167 If applicable, refer to the Guideline for Bacterial Endotoxin Test Method Application (Guideline 9251) and
168 shall comply with enterprise specification.

169 **3 Physical properties**

170 The attention should be paid to spectral transmission, water vapor transmission, gas permeance,
171 mechanical properties, etc. If applicable, relevant items shall be established in the enterprise specification
172 or quality agreements, which should be tested and comply with the enterprise specification or quality
173 agreements.

174 **4 Insoluble particles**

175 The sample shall be determined according to the method for the Determination of Particulate Matter for
176 Pharmaceutical Packaging Materials and Containers <4206>, which shall comply with the enterprise
177 specification or quality agreements.

178 **5 Use performance**

179 5.1 In accordance with the clinical use ways of infusion bags and bottles, the attention should be paid to
180 clinical use performance items: Penetration force, fragmentation, puncture device retention and insertion
181 point impermeability, injection point airtightness, suspension force (for suspension function), drop
182 resistance and opening force. If applicable, relevant items should be established in the enterprise
183 specification, which should be tested and comply with the enterprise specification.

184 5.2 Plastic ampoules should be set up opening force item, according to the method specified in the
185 enterprise specification, and should comply with the relevant requirements. Attention should be paid to
186 water vapor transmission and spectral transmission items for the secondary packaging, which should meet
187 the enterprise specification.

188 5.3 Refer to the functional requirements of Guideline on Rubber Closures for Packaging Injections
189 (Guideline 9623) if the packaging with rubber closures for injections is applicable. Packaging system can
190 also be tested combined with the characteristics according to the methods specified in the enterprise
191 specification or quality agreements, and should comply with the enterprise specification or quality
192 agreements.

193 5.4 For the packaging systems with special use requirements (such as closed infusion), the attention should
194 be paid to items that may affect the clinical use, such as the residual volume and evacuation time. If
195 applicable, relevant items should be established in the enterprise specification, which should be tested and
196 comply with the enterprise specification.

197 5.5 For multiple-dose packaging systems, the attention should be paid to items that may affect the clinical
198 use, such as the sealability for multiple use and dose accuracy. If applicable, relevant items should be
199 established in the enterprise specification, which should be tested and comply with the enterprise
200 specification.

201

202 **Annex 2: Plastic Bottle system and Components for Eye drops**

203 This annex applies to molded plastic bottles and components whose body are made of low-density
204 polyethylene or polypropylene for eye drops.

205 In terms of bottle material: it can be classified as low-density polyethylene bottles for eye drops, and
206 polypropylene bottles for eye drops.

207 In terms of the dosage of eye drops: it can be classified as plastic bottles for single-dose eye drops, and
208 plastic bottles for multiple-dose eye drops.

209 It is advisable that bottle body, bottle nozzle, and bottle cap be packaged separately and stored in a dry and
210 clean place. The packaging of sterile products shall comply with the requirements for sterilization, physical
211 protection, maintenance of sterility state before use, and sterile access.

212 The plastic bottle system and components for eye drops should comply with the requirements of the main
213 body of this guideline, and meet the following product quality control requirements.

214 **1 General requirements**

215 With the purpose of ensuring the controllable quality of drugs, meeting clinical needs and safety in use,
216 manufacturers and users of plastic bottles and components for eye drops shall develop the enterprise
217 specification or quality agreements. Drug product manufacturers shall pay attention to any change of the
218 functional excipients (e.g., antimicrobial preservatives, etc.) in the formula of their drug products during
219 compatibility studies and barrier property evaluations.

220 The appearance, spectral transmission, residue on ignition and microbiological control may be controlled
221 according to the requirements of product quality between the manufacturers and users, as well as the
222 results of risk assessment, and shall comply with the enterprise specification or quality agreements.

223 Bottle caps with additional functions, such as bacterial barrier caps and tamper-evident caps, shall be
224 assessed for the performance of their additional functions, which shall comply with the requirements of the
225 enterprise specification or quality agreements.

226 **2 Decolourization test**

227 Applicable to colour bottle. Carry out the Decolourization Examination Method for Plastics <4205>, any
228 colour produced with the test solution is not more intense than that of the blank solution.

229 **3 Non-volatile matter in n-hexane**

230 Applicable to bottle. Cut 5.0g of the bottle into small pieces of suitable size (eg.3cm×0.3cm) and place
231 them in a round-bottom flask. Add accurately 50ml of n-hexane and boil under reflux conditions for 4
232 hours. Allow to cool down in an ice water bath and filter the extracting solution. Transfer the filtrate into
233 an evaporating dish previously dried to constant weight, evaporate to dryness on a water bath and dry at
234 105°C for 2 hours, then weigh. Perform a blank determination and make any necessary correction. The test
235 result shall comply with the requirements of the enterprise specification or quality agreements.

236 **4 Assembling performance**

237 **4.1 Compatibility**

238 Applicable to assessment of the compatibility between the bottle and bottle cap for bottles with screw caps.
239 Assemble the bottle, bottle cap, bottle nozzle (if any) and screw tightly according to the requirements
240 specified in the enterprise specification or quality agreements and, no stripped screw is allowed.

241 **4.2 Sealability between components**

242 Applicable to non-BFS (blowing-filling-sealing) bottles for eye drops. Assemble the bottle body, bottle cap,
243 and bottle nozzle (if any) and seal according to the requirements specified in the enterprise specification or
244 quality agreements. Place the assembled bottle in a container with an evacuation device, mount the
245 partition plates, add water to immerse the bottle (glass beads can be previously added to the bottle or other
246 suitable methods can be used). Evacuate the container to achieve a vacuity of 20kPa and maintain for 2
247 minutes, no water ingress or continuous bubbling is allowed.

248 **4.3 Water vapor transmission**

249 Assemble the bottle, bottle cap, and bottle nozzle (if any) according to the requirements specified in the
250 enterprise specification or quality agreements. Test according to the Determination of Water Vapor
251 Transmission of Packaging Materials or Containers for Pharmaceutical Use. (see the first method,
252 gravimetric method, in General Chapter 4010, 2 weight loss method, test condition B). And the result shall
253 comply with the enterprise specification or quality agreements.

254 As for BFS bottles for eye drops, make samples prefilled with labelled quantity of water or drugs. Test
255 according to the Determination of Water Vapor Transmission of Packaging Materials or Containers for
256 Pharmaceutical Use (see the first method, gravimetric method, in General Chapter 4010, 2 weight loss
257 method, test condition B). And the result shall comply with the enterprise specification or quality
258 agreements.

259 **5 Visible particles**

260 Applicable to non-BFS bottles to be sterilized. Fill the bottle with 0.9% sodium chloride injection or
261 injection water to labelled quantity, then assemble the bottle, bottle cap, and bottle nozzle (if any) and seal
262 according to the requirements specified in the enterprise specification or quality agreements. Shake for 1
263 minutes and examine according to the Test for Visible Particles <0904>. And the result shall comply with
264 the enterprise specification or quality agreements.

265 **6 Drop volume**

266 Applicable to plastic bottles for multi-dose eye drops. BFS bottles for multi-dose eye drops shall be opened
267 according to the method specified in the enterprise specification or quality agreements before test. Fill the
268 bottle with 0.9% sodium chloride solution to labelled quantity. If there is a bottle nozzle, it shall be
269 combined according to the requirements specified in the enterprise specification or quality agreements.
270 Wipe dry the bottle mouth. Discard a few drops firstly to avoid the influence of bubbles. Then collect 50
271 drops of the solution (10drops/min) and obtain accurate weight (m). Calculate the mean drop volume (V)
272 by the following expression. The results shall comply with the enterprise specification or quality
273 agreements.

$$274 \quad V = \frac{m}{50\rho}$$

275 where V is the mean drop volume, ml;

276 m is the mass of dripped solution, g;

277 ρ is the density of 0.9% sodium chloride solution, calculated as 1.0g/ml.

278 Note: It is acceptable to reduce the number of drops to be collected for small volume plastic bottles for eye
279 drops, in that case, replace 50 in the expression with the corresponding number of collected drops.

280 **7 Residual ethylene oxide**

281 Applicable to components sterilized with ethylene oxide. Test according to the Determination of Ethylene
282 Oxide for Pharmaceutical Packaging Materials and Containers <4209>. The residual ethylene oxide in test
283 solution shall not exceed 0.6 μ g/ml.

Annex 3: Pharmaceutical Plastic Composite Tubes System for Topical Ointments

284 The topical ointments refer to the ointments in General 0109 in ChP., including the composite tubes for
285 creams, gels and semi-solid preparation for eyes etc.

286 This annex applies to the following: The tubes are produced by polyethylene, aluminum, copolymer, etc.,
287 the tube caps and shoulders (tips) are mainly produced by polypropylene, polyethylene, etc., which are
288 used for pharmaceutical plastic composite tubes system for topical ointments, while the tubes are produced
289 by a composite process, the tube caps and shoulders (tips) are mainly produced by composite or single
290 materials.

291 The components are generally tube shoulders (tips) and tube caps without sealing film. For plastic
292 composite tubes with sealing film in topical ointments, the quality control of tubes, tube shoulders (tips)
293 and tube caps can be carried out according to this annex. In addition, it is necessary to combine the use, the
294 material of sealing film and the way of sealing to control the quality of sealing film and compatibility.

295 The packaging bag shall comply with the requirements for pharmaceutical use. It should be sealed and
296 stored at dry, clean place without squeezed.

297 Pharmaceutical Plastic Composite Tubes System and Components for Topical Ointments should meet the
298 requirements of the body of the guideline and the following requirements for product quality control.

299 **1 Requirements**

300 Appearance, thermal sealing strength of tube body and tube tail, microbial limit or sterility can be
301 controlled according to the requirements of the manufacturers and the users and the result of risk
302 assessment, in accordance with the enterprise specification or quality agreement. The risk of use of
303 preparations should be assessed in necessity for example: preparations with different pH levels, interaction
304 of oil-based preparation with pharmaceutical packaging materials.

305 **2 Barrier performance**

306 **2.1 Water Vapor Transmission**

307 Take the composite tube with the same batch number of the production sample and carry out the test
308 according to the Determination of Water Vapor Transmission of packaging materials or containers for
309 pharmaceutical use< 4010 method 2 condition B or method 3 condition B> which shall comply with the
310 enterprise specification or quality agreements.

311 **2.2 Oxygen transmission**

312 Take the composite tube with the same batch number of the production sample and carry out the test
313 according to the Determination of Gas Permeance of packaging materials or containers for pharmaceutical
314 use< 4007 method 1 or method 2>, which shall comply with the enterprise specification or quality
315 agreements.

316 **3 Bare Aluminum at the Weld Seam**

317 It is suitable for aluminum-plastic composite tube body. Take an appropriate amount of sample, remove the
318 tube cap, immerse into the acidic copper sulfate solution (Take 2 g of copper sulfate, add 100 ml
319 hydrochloride and 0.05 ml glycerin, and add water to 100 ml) to 5 mm of tube tail. Remove it after 5
320 minutes and cut the wall of the tube. The weld zone should not become black.

321 **4 Residue solvents**

322 Applicable to the outer printed and composite process produced composite tubes. Take an appropriate
323 amount of sample, the total amount of residual solvent should not exceed 5.0 mg/m², among which
324 benzene as well as its homologues in solvent should not be detected (considering undetected if the result is
325 less than 0.01 mg/m²) according to the Determination of Residual Solvent in Pharmaceutical Packaging
326 Materials < 4207>.

327 **5 Combined Performance**

328 **5.1 Tightness of Tube Cap**

329 Take the ointment tube and the matching tube cap, and fasten the tube cap according to the enterprise
330 specification or quality agreements. The tube cap should fit the tube properly without slipping teeth.

331 Take the above sample, fill it with water, invert it and fasten the tube cap. Observe it after 1 minute. The
332 tube head should not leak water.

333 **5.2 Ethanol Vapor Transmission**

334 Applicable to the composite tubes for ethanol preparations. Take the ointment tube and the matching tube
335 cap carry out the method for Determination of Ethanol Vapor Transmission for Pharmaceutical Plastic
336 Containers < 4212>. The ethanol vapor transmission should not more than 0.5%.

337

Annex 4: Plastic Bottle system and Components for Topical Liquid Medicines

338 The topical liquid formulations mentioned in this attachment refer to ointments, lotions, films, and some
339 formulations of gels, liniments, and washes as outlined in the General Chapter for Preparations of Chinese
340 Pharmacopoeia <0100>. This attachment applies to plastic bottle system and components produced by
341 plastic molding processes for packaging topical liquid formulations. The bottles are mainly made of
342 materials such as polyethylene terephthalate/terephthalate G, polyethylene (low-density polyethylene is
343 only applicable for specific drugs), and polypropylene. The bottle caps are mainly made of materials such
344 as polyethylene and polypropylene, with the addition of opacifying agent, colorants, etc. The bottle cap is a
345 single cap or combined cap, excluding gaskets.

346 For plastic bottle system and components for topical liquid medicines that use gaskets, quality control of
347 bottles and caps may refer to this attachment. Furthermore, considering the purpose, gasket material, and
348 sealing method, quality control of gaskets and compatibility shall be ensured.

349 For plastic bottle system and components for topical liquid medicines containing inner plugs, quality
350 control of the bottle may refer to this attachment. Furthermore, considering the material and purpose of the
351 inner plug, quality control of the inner plug and compatibility shall be ensured.

352 The bottle and bottle cap should be packaged separately. The packaging bags shall meet pharmaceutical
353 requirements, be sealed, and stored in a dry and clean place.

354 The plastic bottle system and components for topical liquid shall comply with the requirements of the body
355 of these guidelines and the following product quality control requirements.

356 **1 General requirements**

357 Caps have additional features such as child stopper caps, elderly easy-to-open caps, the opening should
 358 meet the requirements of enterprise standards or quality agreements, including and not limited to the
 359 claimed function of the inspection.

360 The appearance, ignition residue, oil permeability and microbial limits (or sterility) of the plastic bottle
 361 system and components for topical liquid may be controlled according to the requirements of product
 362 quality between the manufacturers and users, as well as the results of risk assessment, and shall meet
 363 enterprise specification or quality agreements.

364 **2 Acetaldehyde**

365 Applicable to PET bottles/caps. According to the Plastic Acetaldehyde Determination <4208>, the content
 366 of acetaldehyde shall not exceed 0.2ppm.

367 **3 Ethylene Glycol**

368 Applicable to PET bottles/caps. According to the Determination of Ethylene Glycol and Total Terphthaloyl
 369 Moieties for Plastic Containers <4213, Method 1>, the absorbance of the test solution shall not exceed that
 370 of the standard solution (equivalent to the ethylene glycol not exceeding one part per million.).

371 **4 Total terephthaloyl moirties**

372 Applicable to PET bottles/caps. According to the Determination of Ethylene Glycol and Total Terphthaloyl
 373 Moieties for Plastic Containers <4213, Method 2>, the absorbance of both test solutions shall not exceed
 374 0.150 (equivalent to the total terephthaloyl moirties not exceeding one part per million).

375 **5 Decolorization test**

376 Applicable to colored bottles. According to the Plastic Decolorization Inspection <4205>, the color of each
 377 test solution shall not be darker than that of the blank solution.

378 **6 Combination performance**

379 **6.1 Seal between bottle and bottle cap**

380 Take the bottle and matching bottle cap, screw the cap tightly (refer to Table 4 for the torque of screw caps),
 381 immerse them in water in a container equipped with a vacuum device (glass beads or other suitable
 382 methods can be added to the bottle in advance), vacuum the test device, to a vacuum degree of 27 kPa,
 383 maintain for 2 minutes, and there shall be no ingress of water or bubbling inside the bottle.

384 Table 4 Torque for Bottle and Cap

Cap Diameter (mm)	Torque (N·cm)
15~20	25~110
21~30	25~145
31~40	25~180

385 **6.2 Drop resistance**

386 Take the bottle and matching bottle cap, screw the cap tightly (refer to Table 4 for the torque of screw caps),
 387 according to the Examination Method of Drop for Plastics Containers <4025>, there shall be no breakage.

388 **6.3 Water vapor transmission**

389 Applicable to bottles containing liquid formulations with water as the solvent. Take the bottle and
 390 matching bottle cap, according to the Determination of Water Vapor Transmission (Method 1, weight loss

391 method, test condition B in General Chapter 4010). The weight loss for each set shall not exceed 0.2%
392 (when the cap is tightened, refer to Table 4 for the torque of screw caps).

393 **6.4 Ethanol transmission**

394 Applicable to bottles containing liquid formulations with ethanol as the solvent. Take the bottle and
395 matching bottle cap, according to the Determination of Ethanol Vapor Transmission for Pharmaceutical
396 Plastic Containers <4212>, the weight loss shall not exceed 0.5%.

397

Annex 5: Plastic Bottle system and Components for Oral Liquid Preparations

398 This annex applies to plastic bottle system which mainly use polyester, high-density polyethylene,
399 polypropylene, etc. as the primary materials for bottles, use polyethylene, polypropylene, etc. as the
400 primary materials for caps, can be added with opacifying agents and colorants, are manufactured using
401 plastic molding processes and are used for packaging multi-dose oral liquid preparations. Bottle caps are
402 single caps or combined-structured caps, excluding closure liners.

403 For plastic bottle system for oral liquid preparations that have closure liners, the quality of bottle bodies
404 and caps can be controlled with reference to this annex; the quality and fitting performance of closure
405 liners shall be additionally controlled based on the purpose, liners material, sealing method, etc.

406 Bottle bodies and caps shall be packaged separately. Pouches for packaging shall comply with
407 pharmaceutical requirements and be preserved in sealed packaging pouches in a dry and clean place.

408 Plastic Bottle system and Components for Oral Liquid Preparations should meet the requirements of the
409 body of the guideline and the following requirements for product quality control.

410 **1 Requirements**

411 If the bottle caps have additional functions, such as child-resistant caps and senior-friendly caps, the
412 opening method shall comply with the enterprise specification or quality agreements, including but not
413 limited to the investigation of the declared functions. Appearance, ignition residue, oil permeability,
414 microbial limits (or sterility) for Plastic Bottle system and Components for Oral Liquid Preparations
415 should be controlled according to the requirements of product quality between the manufacturers and users,
416 as well as the results of risk assessment, and shall meet the enterprise specification or quality agreements.

417 **2 Acetaldehyde**

418 Applicable to polyester materials. Carry out the test as directed in Determination of Acetaldehyde in
419 Plastics <4208>. The content of acetaldehyde shall be no more than 0.2 ppm.

420 **3 Ethylene glycol**

421 Applicable to bottles/bottle caps of PET materials. According to the Determination of Ethylene Glycol and
422 Total Terphthaloyl Moieties for Plastic Containers <4213, Method 1> the absorbance of the test solution
423 shall be no more than that of the standard solution (equivalent to ethylene glycol no more than 1 ppm).

424 **4 Total terephthaloyl moirties**

425 Applicable to bottles/bottle caps of PET materials. According to the Determination of Ethylene Glycol and
426 Total Terphthaloyl Moieties for Plastic Containers<4213, Method 2>, the absorbance of both test solutions
427 shall not exceed 0.150 (equivalent to the total terephthaloyl moirties not exceeding one part per million).

428 **5 Decolorization test**

429 Applicable to colored bottles. Take samples and carry out the test as instructed in Examination Method of
430 Decolourization for Plastics<4205>. The color of each test solution shall not be deeper than that of the
431 blank solution.

432 **6 Combination performance**

433 **6.1 Seal between bottle and bottle cap**

434 Take the bottle and matching bottle cap, screw the cap tightly (refer to Table 1 for the torque of screw caps),
435 immerse them in water in a container equipped with a vacuum device (glass beads or other suitable
436 methods can be added to the bottle in advance), vacuum to a vacuum degree of 27 kPa, maintain for 2
437 minutes, and there shall be no ingress of water or bubbling inside the bottle.

438 Table 5 Torque for Bottle and Cap

Cap Diameter (mm)	Torque (N·cm)
15~20	25~110
21~30	25~145
31~40	25~180

439 **6.2 Drop resistance**

440 Take the bottle and matching bottle cap, screw the cap tightly (refer to Table 5 for the torque of screw caps),
441 according to the Plastic Drop Resistance Test Method < 4025>, there shall be no breakage.

442 **6.3 Water vapor transmission**

443 Take the bottle and the matching cap, and carry out the test as instructed in Determination of Water Vapor
444 Transmission of packaging materials or containers for pharmaceutical use<4010, weight loss method 2 in
445 method 1 gravimetric method, condition B>. The weight loss of each combination shall be no more than
446 0.2%. (Refer to Table 1 for the torque of screw caps when caps are tightened).

447

Annex 6: Plastic Bottle system and Components for Oral Solid Preparations

448 This annex applies to plastic bottles which mainly use high-density polyethylene, polypropylene, polyester,
449 etc. as the primary materials for bottles, use high-density polyethylene, polypropylene, low-density
450 polyethylene, etc. as the primary materials for caps, can be added with opacifying agents, colorants and
451 reinforcing agents, are manufactured using plastic molding processes and are used for packaging
452 multi-dose oral solid preparations.

453 Bottle caps are equipped with closure liners or desiccants, and have various structures and functions.

454 The products with sealing gaskets in this annex are composed of aluminum-plastic laminated films and
455 cardboards.

456 Bottles、caps (which may include closure liners) and closure liners (if any) shall be packaged separately.

457 Pouches for packaging shall comply with pharmaceutical requirements and be preserved in sealed
458 packaging pouches in a dry and clean place. The caps containing desiccant shall be sealed with
459 high-barrier-performance laminated pouches that meet the pharmaceutical requirements, such as laminated
460 pouches containing an aluminum layer.

461 Plastic Bottle system and Components for Oral Solid Preparations should meet the requirements of the
462 body of the guideline and the following requirements for product quality control.

463 **1 Requirements**

464 The aluminum-plastic laminated films for closure liners shall meet the requirements in annex 7 on Plastic
465 laminated Films and Pouches for Oral Solid preparations

466 If there are different combinations of bottle bodies and caps, such as combination by screw and press, the
467 influence of mouth shape and structure on the protection and function of solid preparations shall be
468 evaluated, and illustrations shall be provided if necessary to facilitate control.

469 If the bottle caps have additional functions, such as child-resistant caps and senior-friendly caps, the
470 opening method shall comply with the enterprise specification or quality agreements, including but not
471 limited to the investigation of the declared functions.

472 The sealing methods for liners mainly include heat sealing, compression and adhesion. The opening
473 performance should be concerned according to the sealing method including but not limited to the
474 investigation of opening force, declared function, etc. (For example, heat sealing methods should pay
475 attention to high-temperature separability and heat seal strength), the stability of the formulation should be
476 combined (the investigation of in-use product stability shall be carried out for the packaging form of the
477 product and the usage and dosage in the instructions) to control its re-protection function, not limited to the
478 investigation of water vapor transmission before opening. The result shall comply with the enterprise
479 specification or quality agreements.

480 For the caps with moisture-proof function, moisture content of desiccant and moisture content of cardboard
481 etc. should be controlled combining with the construction of combination caps and the variety of desiccant,
482 moisture absorption rate should be controlled not limited to the following standard methods and
483 requirement complying with the enterprise specification or quality agreements. Appearance, residue on
484 ignition and limit of microorganisms for plastic bottle system and components for oral solid preparations
485 may be controlled according to the requirements of product quality between the manufacturers and users,
486 as well as the results of risk assessment, and shall comply with the enterprise specification or quality
487 agreements.

488 **2 Acetaldehyde**

489 Applicable to polyester materials. Carry out the test as directed in Determination of Acetaldehyde in
490 Plastics <4208>. The content of acetaldehyde shall be no more than 0.2 ppm.

491 **3 Fluorescent of cardboard**

492 Applicable to heat sealing liners composed of aluminum-plastic laminated films and cardboards. Take a
493 cardboard with the surface area of 100 cm², place the cardboard under the UV lamp, and observe at 254
494 nm and 365 nm. There shall be no flake fluorescence.

495 **4 Moisture absorption rate of desiccant**

496 Applicable to moisture-proof combinational caps. Carry out the test as instructed in Determination of
497 Moisture Content and Moisture Adsorption Rate of Desiccants in Moisture-proof Combinational Caps.
498 <4211>. The saturated moisture absorption rates of silica gel, large molecular sieve and a mixture of 40%

499 silica gel and 60% large molecular sieve shall be no less than 30%, 19% and 24%, respectively. Their
500 short-term moisture absorption rates shall be no more than 3%, 4.5% and 3.5%, respectively.

501 **5 Combination performance**

502 **5.1 Tightness of bottles and caps**

503 Take the bottle and the matching cap, and close the cap tightly (for screw caps, using the torque that is
504 specified in Table 6), For the packaging system with closure liners, heat seal separately with reference to
505 the heat sealing process for pharmaceutical packaging, and then immerse in water in a container with an air
506 extractor.(glass beads can be added into the bottle in advance or other suitable methods can be
507 adopted),and evacuate to a vacuum level of 27 kPa, and maintain for 2 minutes. There must be no water or
508 bubble in the bottle.

509 Table 6 Torque between bottle and cap

Cap diameter (mm)	Torque (N·cm)
15~22	59~78
23~48	98~118
49~70	147~176

510 **5.2 Water vapor transmission**

511 **For packaging systems without closure liners** Take the bottle and the matching cap, and carry out the
512 test as instructed in Determination of Water Vapor Transmission of packaging materials or containers for
513 pharmaceutical use <4010, weight loss method 2 in Method 1 gravimetric method, condition C> and place
514 for 72 hours (3 days). The weight loss of each combination shall be no more than 100 mg/24 h·L. (Refer to
515 Table 6 for the torque of screw caps when caps are tightened).

516 **For packaging systems with heat sealed closure liners** Take the bottle and the matching cap (with a
517 closure liner), carry out the method as instructed in Determination of Water Vapor Transmission of
518 packaging materials or containers for pharmaceutical use<4010, weight loss method 2 in Method 1
519 gravimetric method, condition C>, and place for 336 ± 1 hour (14 days). The result of each combination
520 shall be no more than 25 mg/24 h·L.

521

Annex 7: Oral solid Laminated film and Pouches for pharmaceutical Packaging

522 This annex requirement applies to the production of oral solid laminated film and pouches for
523 pharmaceutical Packaging using welding processes on different base materials and the pouches. Its
524 thickness generally does not exceed 0.25mm.

525 The Laminated film referred to in this annex is mainly composed of base material (plastic film, aluminized
526 plastic film, aluminum foil, paper, etc.), ink, adhesive, etc.

527 Oral solid laminated film and pouches for pharmaceutical packaging shall meet the medicinal requirements,
528 be sealed and stored in a dry and clean place.

529 Oral solid laminated film and pouches for pharmaceutical packaging should meet the requirements of the
530 body of the guideline and the following requirements for product quality control.

531 **1 Requirements**

532 Oral solid laminated film and pouches for pharmaceutical packaging have various materials and structural
533 compositions (Note 1. Schedule A). The materials and structures of the laminated films will affect the
534 safety and protective functions of pharmaceutical preparations. The laminated films should be evaluated
535 based on the use requirements. Materials and structures are selected and evaluated. Its design needs to
536 consider the possible impact of the construction and process on the packaging preparation.

537 Appearance and microbial limits of oral solid laminated film and pouches for pharmaceutical packaging
538 can be controlled according to the product quality requirements of the manufacturers and users; when
539 necessary, the type of adhesive used and the risk assessment results of residual amounts shall be controlled
540 and meet the enterprise specification or quality agreements.

541 **2 Barrier performance**

542 Barrier performance is one of the key indicators for evaluating laminated film to ensure drug quality. When
543 used for the first time, the material structure composition should be clarified. Unless otherwise specified by
544 enterprise specification or quality agreements, relevant project selected methods and indicators shall meet
545 the requirements. The user should fully evaluate the barrier performance risks of the laminated pouch in
546 the form of packaging based on the special requirements of the preparation, such as oxygenation (oxygen
547 sensitivity), etc., and conduct verification and control if necessary.

548 **2.1 Oxygen transmission rate**

549 It is measured according to the Determination of Gas Permeance of packaging materials or containers for
550 pharmaceutical use< 4007 Method 1 or Method 2>. During the test, the heat seal faces the oxygen
551 low-pressure side, oxygen permeability should not more than 0.5 cm³/(m²·24h·0.1MPa) (High oxygen
552 resistance) or not more than 10.0cm³/(m²·24h·0.1MPa) (Medium oxygen resistance). For the specific
553 products with low oxygen resistance, it needs to combine the characteristic of the material construction and
554 the requirements of the quality control and shall comply with the enterprise specification or quality
555 agreements.

556 **2.2 Water vapor transmission rate**

557 It is measured according to the Determination of Water Vapor Transmission of packaging materials or
558 containers for pharmaceutical use<4010, Method1(1), Method 2 and Method3, condition B>. During the
559 test, the welding surface is facing the low humidity side, water vapor transmission rate should not more
560 than 0.5 g/(m²·24h) (High water resistance) or not more than 2.0 g/(m²·24h) (Medium water resistance).
561 For the specific products with low water resistance, it needs to combine the characteristic of the material
562 construction and the requirements of the quality control and shall comply with the enterprise specification
563 or quality agreements.

564 **3 Peel strength (applicable to adhesive laminated process for inner layer and sub-inner layer)**

565 It is measured according to the Standard of Peel Strength Test<4004>. During the test, the inner layer and
566 the sub-inner layer and above are regarded as one layer when the co-extrusion laminated process is used.
567 The average value of the longitudinal and transverse peel strength shall comply with the regulations of the
568 corresponding level in Table 7.

569 Table 3 Peel strength classification and requirements

Classification	Peel strength of inner layer and sub-inner layer (N/15mm)
1	≥2.5
2	≥1.0
3	≥0.5

570 Note: 1. When the dimensions of the sample in either longitudinal or transverse direction cannot meet the
571 sampling requirements stipulated in the method standard, the peel strength test does not need to be
572 conducted in that direction;

573 2. *Only applicable to laminated film/pouches for packaging small-sized preparations (such as ≤0.2g etc.).

574 **4 Welding strength**

575 Measure according to the requirements of the relevant material (laminated film) or pouches (laminated
576 pouches) in the welding strength determination method <4008>. Welding strength is related to the inner
577 material and the thickness, the average value is usually not less than 12.0 or 7.0 (N/15mm) (can be selected
578 according to the material); the welding strength of easy-to-remove laminated film is not less than
579 3(N/15mm).

580 **5 Solvent residual amount**

581 Take an appropriate amount of sample, cut out an inner surface area of 0.02m², and measure it according
582 to the Determination of Residual Solvent in Pharmaceutical Packaging Materials <4207>. The total solvent
583 residues shall not exceed 5.0 mg/m², and the residual amounts of benzene and benzene solvents shall not
584 be tested (considering undetected if the result is less than 0.01 mg/m²).

585 **[Note]** 1. The material and construction of oral solid laminated film and pouches for pharmaceutical
586 packaging are various, which can be divided into paper-plastic film, plastic film-plastic film, plastic film
587 -aluminized film, paper or plastic film-aluminum foil, multi-layer plastic film-aluminum foil, oxide coating
588 film-plastic film or multi-layer plastic film, etc. 2. The English abbreviations of the constituent materials
589 are commonly used in the naming and specifications of laminated film. Therefore, the names of commonly
590 used constituent materials and their corresponding English abbreviations are listed in Schedule 8.

591

Schedule 8 Common abbreviations for membrane materials

abbreviation	Full name of material
ABS	Acrylonitrile butadiene styrene
AL	Aluminum foil
AL _{Ox} -BOPET	Aluminum oxide coated - biaxially oriented polyester
AL _{Ox} -BOPP	Aluminum oxide coated - biaxially oriented polypropylene
AS	Acrylonitrile-styrene copolymer
BOPA	Biaxially oriented polyamide
BOPET	Biaxially oriented polyester
BOPP	Biaxially oriented polypropylene
CPE	Cast polyethylene
CPP	Cast Polypropylene
EAA	Ethylene-acrylic acid copolymer
EEA	Ethylene-ethyl acrylate copolymer

EMA	Ethylene-methacrylic acid copolymer
EVA	Ethylene-vinyl acetate copolymer
EVOH	Ethylene-vinyl alcohol copolymer
LDPE	Low density polyethylen
MBS	Methacrylate-butadiene-styrene copolymer
OPA	Oriented polyamide
OPP	Oriented polypropylene
PAPER	Paper
PC	Polycarbonate
PE	Polyethylene
PO	Polyolefin
PT	Plane Transparent Cellophane
PVA	Polyvinyl alcohol
SiO _x -BOPET	Silicon oxide coated - biaxially oriented polyester
SiO _x -BOPP	Silicon oxide coated - biaxially oriented polypropylene
SiO _x -OPP	Silicon oxide coating - oriented polypropylene
VMBOPP	Vacuum aluminum plated biaxially oriented polypropylene
VMCPP	Vacuum aluminum plated cast polypropylene
VMPET	Vacuum aluminized polyester

592
593
594
595

Note: Materials not listed above shall comply with the material name and English abbreviation of the specification.

Annex 8: Sheet for Oral Solid Preparations

596 This annex applies to sheet and composite sheet utilized in blister packaging for oral solid Preparations
597 (tablets, capsules, etc.).

598 In terms of the component, sheet can be classified as single-layer sheet and multi-layer (composited) sheet.

599 In terms of the forming process of the blister, sheet can be classified as thermal-formed sheet and
600 cold-formed sheet.

601 The products should be sealed and stored in dark, dry and clean place while the pouches and bags for
602 packaging should meet the operating requirements of pharmaceutical products.

603 Sheet for Oral Solid Preparations should meet the requirements of the body of the guideline and the
604 following requirements for product quality control.

605 1 Requirements

606 During the forming process, the properties of sheet for oral solid Preparations, including barrier properties,
607 mechanical properties will be degraded due to tensile deformation, the tendency of degradation is related
608 to the shape and size of the formed blisters. The risks associated with the degradation should be adequately
609 evaluated by the utilizer on the basis of the characteristics of the preparations. Validation and control
610 should be introduced if necessary.

611 Appearance and microbiological limit of sheet for oral solid Preparations may be controlled according to
612 the requirements of product quality between the manufacturers and users, as well as the results of risk

613 assessment, and shall meet the enterprise specification or quality agreements.

614 **2 Barrier properties**

615 **2.1 Water vapor transmission**

616 Select appropriate test methods and conditions with the sealed surface facing the low-humidity side during
617 the test. The result shall meet the enterprise specification or quality agreements according to the
618 Determination of Water Vapor Transmission of packaging materials or containers for pharmaceutical use
619 <4010>.

620 **2.2 Oxygen transmission**

621 Select appropriate test methods with the sealed surface facing the oxygen low-pressure side during the test.
622 The result shall meet the enterprise specification or quality agreements according to the Determination of
623 Gas Permeance of packaging materials or containers for pharmaceutical use<4007>.

624 **3 Tensile strength**

625 Determine the tensile strength with the test speed being 100 ± 10 mm/min and the specimen being Type I.
626 The result shall meet the enterprise specification or quality agreements according to the Test for Tensile
627 Properties<4005>.

628 **4 Heat seal strength**

629 Prepare test specimens for testing. If the product is sealed to homogeneous material, cut 4 specimens in
630 100mm×100mm, laminate the sealed surfaces, and seal them along the transverse direction and
631 longitudinal direction. If the product is sealed to pharmaceutical aluminum foil (or other materials), cut 2
632 specimens in 100mm×100mm, then laminate the heat seal surface with the pharmaceutical aluminum foil
633 (or other materials) with the same size and seal them along the transverse direction and longitudinal
634 direction. Specimens should be sealed in the condition of (155 ± 5) °C, 0.2 MPa, and 1 s. Alternatively, the
635 condition can be customized on the basis of the characteristics of the product, technology and
636 manufacturing equipment. The result shall meet the enterprise specification or quality agreements
637 according to the Test for Heat Seal Strength <4008>.

638 **5 Thermal tensile ratio (not applicable to cold stamped sheet)**

639 The result shall meet the enterprise specification or quality agreements according to the Determination for
640 Thermal Tensile Ratio of Pharmaceutical Sheets<4027>.

641 **6 Polyvinylidene chloride (PVDC) coating weight**

642 Applicable to products coated with polyvinylidene chloride (PVDC). Prepare five test specimens in 100
643 cm² accurately for testing. Immerse specimens in acetone (or other suitable solvent) until the specimens
644 can be separated (heating if necessary). Take out specimens and carefully isolate the polyvinylidene
645 chloride (PVDC) layers. The layers should be dried in the condition of $80 \text{ °C} \pm 2 \text{ °C}$ for 2 hours (or using
646 equivalent drying method) and be stored at room temperature ($23 \text{ °C} \pm 2 \text{ °C}$) for 30 minutes. Subsequently,
647 weigh each polyvinylidene chloride (PVDC) layer precisely and calculate the polyvinylidene chloride
648 (PVDC) coating weight (expressed in g/m²). The deviation from the nominal value shall meet the
649 enterprise specification or quality agreements.

650 **7 Peel strength**

651 Applicable to cold-formed sheet containing aluminum. The peel strength between the aluminum layer and
652 the polymer layer shall meet the enterprise specification or quality agreements according to the Standard of
653 Peel Strength Test<4004>.

654 **8 Residual solvent**

655 Applicable to composite sheet. Prepare test specimens with an inner surface area of 0.02 m² for testing.
656 The total amount of residual solvent should not exceed 5.0 mg/m², among which benzene as well as its
657 homologues in solvent should not be detected (considering undetected if the result is less than 0.01 mg/m²)
658 according to the Determination of Residual Solvent in Pharmaceutical Packaging Materials <4207>.

659 **9 Residual monomer**

660 For the sheet containing polyvinyl chloride (PVC): Prepare test specimens for testing, the residual amount
661 of vinyl chloride monomer should not exceed 1 µg/g according to the Determination of Vinyl Chloride
662 Monomer and Vinylidene Chloride Monomer in Plastics <4210>.

663 For the sheet coated with polyvinylidene chloride (PVDC): Prepare test specimens for testing, the residual
664 amount of vinylidene chloride monomer should not exceed 3 µg/g according to the Determination of Vinyl
665 Chloride Monomer and Vinylidene Chloride Monomer in Plastics <4210>.

Annex 9: Aseptic Pharmaceutical Packaging Systems Produced Using Blow-Fill-Seal (BFS) Technology

666 This attachment provides guidance for the quality control of aseptic pharmaceutical packaging systems
667 produced using blow-fill-seal technology (BFS technology).

668 BFS technology refers to an automated production process using integrated equipment to heat and extrude
669 plastic granules, complete the blow molding of packaging containers, fill the pharmaceuticals, and seal the
670 containers within the same equipment, guaranteeing the aseptic level.

671 Blow-Fill-Seal equipment (BFS equipment) is fully automated machinery that blows thermoplastic
672 materials into containers and performs filling and sealing operations. The BFS equipment can continuously
673 carry out blow molding, filling, and sealing processes. BFS equipment comes in two forms: open-type
674 parison and closed-type parison.

675 BFS technology is suitable for filling injections, inhalation solutions, eye drops, nasal drops, rinsing
676 solutions, and other formulations. The packaging containers produced by BFS technology operate
677 continuously from molding, filling to sealing, reduce personnel interference, less time exposure to the
678 environment, so as to obtain a high level of aseptic protection. The key processes of BFS technology are
679 divided into five parts : (1) **Extrusion**: Plastic granules are heated and extruded to form a parison, which
680 enters the open blow mold. (2) **Molding**: The main mold closes, sealing the bottom of the container. The
681 parison is blown into the container shape using sterile compressed air or a vacuum system. (3) **Filling**: The
682 accurately measured pharmaceutical is filled into the container through a special mandrel unit. (4) **Sealing**:
683 After filling the pharmaceutical, the head mold closes to seal the container. (5) **Mold Opening**: The mold
684 opens, and the container is conveyed out of the equipment, starting the next production cycle. Containers
685 are transported to the next process through a transmission system.

686 **1. Technical Requirements**

687 **1.1 Selection Requirements for Plastic Granule**

688 Plastic granules used in BFS technology should be non-toxic, harmless, compatible with the type of
689 pharmaceutical packaging container and route of administration, and suitable for BFS equipment extrusion
690 processing. Commonly used plastic granules for BFS technology are polyethylene and polypropylene.
691 When selecting plastic granules, safety risks of granules and additives should be evaluated. Additionally,
692 based on the characteristics of the pharmaceutical packaging container, product stability, and final user
693 needs, the following elements should be evaluated, including but not limited to: (1) Compatibility with the
694 drug. (2) Mechanical properties of the packaging container. (3) Processing characteristics. (4) Performance
695 in use. (5) Sterilization resistance.

696 **1.2 Design Requirements for Pharmaceutical Packaging Containers**

697 The design of pharmaceutical packaging containers should consider aspects such as shape, rigidity,
698 toughness, wall thickness, barrier properties, light-blocking (light-transmitting) properties, and heat
699 resistance (if needed) to meet the intended use.

700 **1.3 BFS Technology Confirmation and Validation**

701 Confirmation and validation of BFS technology should be determined based on quality risk management
702 principles and the characteristics of BFS technology. The lifecycle approach should be implemented to
703 achieve contamination control objectives through continuous improvement throughout the process.

704 **2. Quality Control**

705 The aseptic pharmaceutical packaging system produced by BFS technology should meet the requirements
706 of the main body of this guidance document. The appearance, specifications, dimensions, wall thickness,
707 sealing, light transmittance, barrier performance, mechanical performance of the pharmaceutical packaging
708 system should meet design and usage requirements.

709 Depending on the use of the pharmaceutical packaging system, quality control items should be determined
710 and meet relevant requirements to ensure the quality of pharmaceuticals is controllable, meets clinical
711 needs, and is safe to use. The aseptic pharmaceutical packaging system produced by BFS technology
712 should focus on the following items. For items already included in the finished product quality control,
713 testing of the packaging system may be exempted if applicable.

714 **2.1 Microbial Control**

715 Biological load testing should be conducted according to the Principles of Microbiological Examination of
716 Pharmaceutical Packaging Materials (Guideline 9653) and should meet the requirements for plastic
717 packaging systems and components for injections, plastic bottle system, and components for eye drops,
718 and other related preparation packaging systems.

719 **2.2 Bacterial Endotoxins**

720 Bacterial endotoxin testing should be conducted according to the Principles of Bacterial Endotoxin Test
721 Application (Guideline 9251) and should meet the requirements for plastic packaging systems and
722 components for injections and other related preparation packaging systems.

723 **2.3 Insoluble Particles**

724 Testing should be conducted according to the Determination Method of Insoluble Particles in
725 Pharmaceutical Packaging Materials <4206>, and results should meet the requirements for plastic
726 packaging systems and components for injections and other related preparation packaging systems.

727 **2.4 Visible Foreign Matters**

728 Inspection should be conducted according to the Inspection Method for Visible Foreign Matters<0904>,
729 and results should meet the requirements for related preparation packaging systems.

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